# A Bochner Formula on Path Space for the Ricci Flow

#### Christopher Kennedy christopher.kennedy@queensu.ca

Queen's University

#### BIRS Stochastics and Geometry Workshop, Banff, AB (September 8–13, 2024)

- using stochastics, generalized classical Bochner formula for the heat flow on evolving manifolds  $(M, g_t)_{t \in [0, T]}$  to infinite-dimensional Bochner formula for martingales on parabolic path space PM of space-time  $\mathcal{M} = M \times [0, T]$
- characterize solutions of the Ricci flow in terms of Bochner inequalities on parabolic path space
- $\bullet\,$  obtain gradient and Hessian estimates for martingales on  ${\cal PM}$
- condensed proofs of prior characterizations of the Ricci flow

# Table of Contents

#### I. Overview of Results

#### II. Characterization of the Ricci Flow

- 2.1 Background on Characterizations
- 2.2 Solutions to Einstein's Equations
- 2.3 Solutions to the Ricci Flow

### III. Preliminaries

- 3.1 Geometric Preliminaries
- 3.2 Probabilistic Preliminaries

### IV. Bochner Formula on Parabolic Path Space

### V. Applications

- 5.1 New Characterizations of the Ricci Flow
- 5.2 Gradient and Hessian Estimates
- 5.3 Condensed Proofs of Prior Characterizations

Probability in geometry. Used to better understand how shapes deform and evolve in time

Solutions to geometric flows. Two ways to characterize solutions include gradient estimates and Bochner formulas

Bochner inequality.

$$(-\partial_t + \Delta) |\nabla H_t f|^2 = 2 |\nabla^2 H_t f|^2 + 2 \text{Re}(\nabla H_t f, \nabla H_t f).$$

Example. Supersolutions to Einstein's equations  $\mathrm{Rc}\geq$  0 satisfy equivalence

$$\operatorname{Rc} \geq 0 \iff (\partial_t - \Delta) |\nabla H_t f|^2 \leq -2 |\nabla^2 H_t f|^2 \quad \Longleftrightarrow \ |\nabla H_t f| \leq H_t |\nabla f|$$

Evolving family of manifolds. Smooth and complete family of Riemannian manifolds  $(M^n, g_t)_{t \in I}$  with bounded curvature and metric

Hamilton's Ricci flow.  $\partial_t g_t = -2 \operatorname{Rc}_{g_t}$  [Hamilton (1993)]

Solutions and supersolutions of flows. Can characterize solutions from following table

$$\begin{array}{c|c} \operatorname{Rc} \geq 0 & \operatorname{Rc} = 0 \\ \hline \partial_t g_t \geq -2 \operatorname{Rc}_{g_t} & \partial_t g_t = -2 \operatorname{Rc}_{g_t} \end{array}$$

Supersolutions. Equivalence of supersolutions to

 $\partial_t g_t \geq -2 \mathrm{Rc}_{g_t}$ 

(Ricci flow), classical Bochner inequality and gradient estimates

Ricci flow. Gradient estimate and the classical Bochner inequality for the Ricci flow are

$$\begin{cases} \partial_t g_t \ge -2\mathrm{Rc}_{g_t} & \iff |\nabla H_{st}f| \le H_{st} |\nabla f| \\ & \iff (\partial_t - \Delta_{g_t}) |\nabla H_{st}f|^2 \le -2 |\nabla^2 H_{st}f|^2 \end{cases}$$

- $f: M \to \mathbb{R}$  test function
- $(M, g_t)_{t \in [0, T]}$  Riemannian manifolds
- $H_{st}f$  heat flow of  $f: M \to \mathbb{R}$  starting at  $f(\cdot, s)$

Solutions. Equivalence of solutions to  $\mathrm{Rc} = 0$  to infinite-dimensional gradient estimate and infinite-dimensional Bochner inequality

Gradient estimate. Naber (2013) proved

$$\operatorname{Rc} = 0 \iff \left| \nabla_{x} \int_{PM} F \, d\mathbb{P}_{x} \right| \leq \int_{PM} |\nabla_{0}^{||} F| \, d\mathbb{P}_{x}$$

Other work. Variants of estimate obtained [Cheng and Thalmaier (2018a), Cheng and Thalmaier (2018b), Wu (2020), Fang and Wu (2017), Wang and Wu (2018)]

Equivalence. Main result [Haslhofer and Naber (2018b)]

$$\operatorname{Rc} = \mathbf{0} \iff d |\nabla_s^{||} F_t|^2 \ge \langle \nabla_t^{||} |\nabla_s^{||} F_t|^2, dW_t \rangle$$

Utility. Using Bochner, simplified proof of infinite-dimensional estimate

• 
$$\mathit{PM} = \mathit{C}([0,\infty),\mathit{M})$$
 – path space

- $F: PM \to \mathbb{R}$  test function
- $F_t = \mathbb{E}[F \mid \Sigma_t]$  induced martingale
- $\mathbb{P}_x$  Wiener measure starting at  $x \in M$
- $\nabla_t^{||}$  parallel gradients

### Solutions to the Ricci Flow

Main difference. Parabolic path space  $P_T \mathcal{M}$  only consists of continuous space-time curves  $\{\gamma_\tau = (T - \tau, x_\tau)\}$ 

Properties. Endowed with family of parabolic Wiener measures  $\{\mathbb{P}_{(x,T)}\}\$  and parabolic stochastic parallel gradients  $\{\nabla_{\sigma}^{||}\}_{\sigma \geq 0}$ 

Result. Haslhofer and Naber (2018a) proved

$$\partial_t g_t = -2 \operatorname{Rc}_{g_t} \iff \left| \nabla_x \int_{P_T \mathcal{M}} F \, d\mathbb{P}_{(x,T)} \right| \leq \int_{P_T \mathcal{M}} |\nabla_0^{||} F| \, d\mathbb{P}_{(x,T)}$$

- $\mathcal{M} = \mathcal{M} \times [0, \mathcal{T}]$  space-time equipped with connection
- $P_T \mathcal{M}$  parabolic path space
- $\mathbb{P}_{(x,\mathcal{T})}$  parabolic Wiener measures starting at  $(x,\mathcal{T})\in\mathcal{M}$

Other work. Characterizations also by [Cheng and Thalmaier (2018b)], links between elliptic and parabolic setting [Cabezas-Rivas and Haslhofer (2020)]

Bochner inequality. Bochner formula on parabolic path space? [Kennedy (2023)]

Parabolic path space.

$$P_T \mathcal{M} := \{(x_{\tau}, T - \tau)_{\tau \in [0, T]} | x \in C([0, T], M)\}$$

Heat flow.

$$H_{st}f(x) = \int_M H(x,t|y,s)f(y) \, dV_{g_s}(y).$$

Example.  $F(\gamma) = f(\pi_1 \gamma_{\tau_1})$  where  $f : M \to \mathbb{R}$  and  $\pi_1 : M \times [0, T] \to M$ 

Induced martingale.

$$F_{ au} = \mathbb{E}[F|\Sigma_{ au}]$$

given by

$$F_{\tau}(\gamma) = H_{T-\tau_1, T-\tau}f(\pi_1\gamma_{\tau})$$

Utility. Martingales generalize heat flow; motivates main result: a generalized Bochner formula on  ${\cal PM}$ 

# Geometric Preliminaries

#### Notations in time-independent geometry.

• M - complete Riemannian manifold

• 
$$F_x = \{u : \mathbb{R}^n \to T_x M \text{ orthonormal}\} - \text{frames}$$

- $\pi: F \to M$  frame bundle
- $\tilde{f} = f \circ \pi : F \to \mathbb{R}$
- $X^*$  unique horizontal lift such that  $\pi_*(X^*) = X$

#### Notations in time-dependent geometry.

- time-evolving family of manifolds:  $(M, g_t)_{t \in [0, T]}$
- space-time with connection:  $\mathcal{M} = M \times [0, T]$
- frames:  $\mathcal{F}_{(x,t)} = \{ u : \mathbb{R}^n \to (T_x M, g_t) \text{ orthonormal} \}$
- frame bundle:  $\pi: \mathcal{F} \to \mathcal{M}$
- $\mathcal{U}: P_0 \mathbb{R}^n \to P_u \mathcal{F}$  map
- $\Pi: P_u \mathcal{F} \to P_{(x,T)} \mathcal{M}$  projection map

### Geometric Preliminaries

Horizontal lift. Given vector  $\alpha X + \beta \partial_t \in T_{(x,t)} \mathcal{M}$  and frame  $u \in \mathcal{F}_{(x,t)}$ ,  $\exists !$ horizontal lift  $\alpha X^* + \beta D_t$  satisfying  $\pi_*(\alpha X^* + \beta D_t) = \alpha X + \beta \partial_t$ ;  $X^*$ horizontal lift of  $X \in T_x \mathcal{M}$  with respect to fixed metric  $g_t$ 

Local coordinates.  $\mathcal{G}_{(x,t)} := \{u : \mathbb{R}^n \to (T_x M, g_t) \text{ invertible, linear}\}$ 

Given local coordinates  $(x^1, ..., x^n, t)$  on  $\mathcal{M}$ , get local coordinates  $(x^i, t, e_a^j)$  on  $\mathcal{G}$ , where  $ue_a = e_a^j \frac{\partial}{\partial x^j}$ 

Canonical vector fields. [Hamilton (1993)]

$$\begin{cases} H_{a} &= e_{a}^{j} \frac{\partial}{\partial x^{j}} - e_{a}^{j} e_{b}^{k} \Gamma_{jk}^{\ell} \frac{\partial}{\partial e_{b}^{\ell}} \\ V_{ab} &= e_{b}^{j} \frac{\partial}{\partial e_{a}^{j}} - e_{a}^{j} \frac{\partial}{\partial e_{b}^{j}} \\ D_{t} &= \partial_{t} - \frac{1}{2} \widetilde{\partial_{t}} g_{ab} e_{b}^{\ell} \frac{\partial}{\partial e_{a}^{\ell}} \end{cases}$$

Derivatives of tensor fields. [Haslhofer and Naber (2018a)]

$$\begin{aligned}
\widetilde{\nabla_X T} &= X^* \widetilde{T} \\
\widetilde{\nabla_t T} &= D_t \widetilde{T} \\
\widetilde{\Delta T} &= \sum_{a=1}^n H_a H_a \widetilde{T} =: \Delta_H \widetilde{T} \\
(\nabla^2 f)(ue_a, ue_b) &= H_a H_b \widetilde{f}
\end{aligned}$$

Proposition. Let  $\tilde{f}:\mathcal{F}\to\mathbb{R}$  be an orthonormally invariant function. Then

$$[D_t - \Delta_H, H_a]\widetilde{f} = -\frac{1}{2}(\widetilde{\partial_t g} + 2\widetilde{\mathrm{Rc}})_{ab}H_b\widetilde{f},$$

where  $\widetilde{\mathrm{Rc}}_{ab}(u) = \mathrm{Rc}_{\pi(u)}(ue_a, ue_b)$ .

Horizontal curves.  $\{u_{\tau}\}_{\tau \in [0,T]} \in \mathcal{F}$ , where  $\pi(u_{\tau}) = (x_{\tau}, T - \tau)$  correspond to curves  $\{w_{\tau}\}_{\tau \in [0,T]} \in \mathbb{R}^{n}$  via IVP

$$\begin{cases} \frac{du_{\tau}}{d\tau} &= D_{\tau} + H_{a}(u_{\tau}) \frac{dw_{\tau}^{a}}{d\tau} \\ w_{0} &= 0. \end{cases}$$

Anti-development in time-dependent setting. For evolving manifolds

$$\left\{ egin{array}{ll} dU_{ au} &= D_{ au} \, d au + H_{a}(U_{ au}) \circ dW_{ au}^{a} \ U_{0} &= u. \end{array} 
ight.$$

ヘロト 人間 ト 人 回 ト 人 回 トー

Key probabilistic tool. [Haslhofer and Naber (2018a)] The stochastic differential equation

$$dU_{ au} = D_{ au} \, d au + H_{a}(U_{ au}) \circ dW_{ au}^{a}$$

has unique solution  $U_{\tau}$  satisfying

$$d ilde{f}(U_ au) = D_ au ilde{f}(U_ au) \, d au + \langle (H ilde{f})(U_ au), dW_ au 
angle + \Delta_H( ilde{f})(U_ au) \, d au.$$

Idea of proof. Embed  $\mathcal{F}$  into  $\mathbb{R}^N$ , extend and find solution up to explosion time  $e(\mathcal{U})$  [Hsu (2002)]

Do some Itô calculus and project down from the frame to get the result!

Brownian motion on space time.  $\pi(U_{\tau}) = (X_{\tau}, T - \tau)$  Brownian motion on space time  $\mathcal{M} = \mathcal{M} \times I$  with base point  $\pi(u) = (x, T)$ 

Stochastic parallel transport. Family of isometries

$$\left\{P_{\tau}=U_0U_{\tau}^{-1}:\left(T_{X_{\tau}}M,g_{T-\tau}\right)\rightarrow\left(T_{x}M,g_{T}\right)\right\}$$

Based path spaces.

$$P_{u}\mathcal{F} := \{u_{\tau}|u_{0} = u, \pi_{2}(u_{\tau}) = T - \tau\}_{\tau \in [0,T]} \subset \mathcal{F}$$

$$P_{(x,T)}\mathcal{M} = \{\gamma_{\tau} = (x_{\tau}, T - \tau) | \gamma_0 = (x, T)\}_{\tau \in [0,T]},$$

Cylinder functions.  $u \circ e_{\sigma}$ , where  $e_{\sigma} : P_{(x,T)}\mathcal{M} \to \mathcal{M}^k$  are k-point evaluation maps, namely  $e_{\sigma} : \gamma \mapsto (\pi_1 \gamma_{\sigma_1}, ..., \pi_1 \gamma_{\sigma_k})$ , and  $u : \mathcal{M}^k \to \mathbb{R}$  is compactly supported

Stochastic parallel gradient. Defined by Fréchet derivative  $D_{V^{\sigma}}$ 

$$D_{V^{\sigma}}F(\gamma) = \langle 
abla^{||}_{\sigma}F(\gamma), v 
angle_{(\mathcal{T}_{x}M,g_{T})},$$

for almost every Brownian curve  $\gamma$  and  $v \in (T_x M, g_T)$ , where  $V_{\tau}^{\sigma} = P_{\tau}^{-1} v \chi_{[\sigma, T]}(\tau)$ 

#### Some more notations.

- $P_T\mathcal{M} := \left\{ (x_\tau, T \tau)_{\tau \in [0,T]} | x \in C([0,T],M) \right\}$  parabolic path space
- $H_{st}f(x) = \int_M H(x,t|y,s)f(y) \, dV_{g_s}(y)$  solution to heat equation
- $\mathbb{P}_{(x,\mathcal{T})}[X_j \in U_j]$  parabolic Wiener measures starting at  $(x,\mathcal{T}) \in M$
- $\mathbb{P}_u = U_*(\mathbb{P}_0)$ ,  $\mathbb{P}_{(x,T)} = \Pi_*(\mathbb{P}_u)$  induced Wiener measures
- $\nabla_{\sigma}^{||} F(\gamma) \in (T_x M, g_T)$  of  $F : P_t \mathcal{M} \to \mathbb{R}$  parabolic stochastic parallel gradient
- $F_{\tau}: P_{(x,T)}\mathcal{M} \to \mathbb{R}$  martingale on parabolic path space
- $F_{\tau}(\gamma) = \mathbb{E}[F|\Sigma_{\tau}](\gamma)$  conditional expectation

・ 何 ト ・ ヨ ト ・ ヨ ト … ヨ

# Probabilistic Preliminaries

Key example.

- $F(\gamma) = f(\pi_1 \gamma_{\tau_1})$  where  $f: M \to \mathbb{R}$  and  $\pi_1: M \times [0, T] \to M$
- $F_{\tau} = \mathbb{E}[F \mid \Sigma_{\tau}]$  induced martingale
- $F_{\tau}(\gamma) = \int_{P_{\gamma_{\tau}}\mathcal{M}} F(\gamma|_{[0,\tau]} * \gamma') d\mathbb{P}_{\gamma_{\tau}}(\tau')$  [Haslhofer and Naber (2018a)] Calculation for  $\tau > \tau_1$ :

$$F_{\tau}(\gamma) = \int_{P_{\gamma_{\tau}}\mathcal{M}} f(\pi_{1}\gamma_{\tau_{1}}) d\mathbb{P}_{\gamma_{\tau}}(\gamma') = f(X_{\tau_{1}})$$

Calculation for  $\tau < \tau_1$ :

$$\begin{aligned} F_{\tau} &= \int_{P_{\gamma_{\tau}}\mathcal{M}} f(\pi_{1}\gamma_{\tau_{1}-\tau}') d\mathbb{P}_{\gamma_{\tau}}(\gamma') \\ &= \int_{M} f(y) H(X_{\tau}, T - \tau | X_{\tau_{1}}, T - \tau_{1}) dV_{g_{T-\tau_{1}}}(y) \\ &= H_{T-\tau_{1}, T-\tau} f(X_{\tau}) \end{aligned}$$

Main result. Let  $F_{\tau} : P_{(x,T)}\mathcal{M} \to \mathbb{R}$  be martingale on the parabolic path space of space-time. If  $\sigma \geq 0$  is fixed, then

$$d(|\nabla_{\sigma}^{\parallel}F_{\tau}|^{2}) = \langle \nabla_{\tau}^{\parallel}|\nabla_{\sigma}^{\parallel}F_{\tau}|^{2}, dW_{\tau} \rangle + (\dot{g} + 2\operatorname{Rc})_{\tau}(\nabla_{\tau}^{\parallel}F_{\tau}, \nabla_{\sigma}^{\parallel}F_{\tau}) d\tau + 2|\nabla_{\tau}^{\parallel}\nabla_{\sigma}^{\parallel}F_{\tau}|^{2} d\tau + 2|\nabla_{\sigma}^{\parallel}F_{\sigma}|^{2}\delta_{\sigma}(\tau) d\tau.$$

Corollary. (Ricci  $\implies$  Full Bochner) If  $(M, g_t)_{t \in [0, T]}$  evolves under Ricci flow, then

$$d(|\nabla_{\sigma}^{||}F_{\tau}|^2) \geq \langle \nabla_{\tau}^{||}|\nabla_{\sigma}^{||}F_{\tau}|^2, dW_{\tau}\rangle + 2|\nabla_{\tau}^{||}\nabla_{\sigma}^{||}F_{\tau}|^2 d\tau + 2|\nabla_{\sigma}^{||}F_{\sigma}|^2 d\delta_{\sigma}(\tau)$$

Steps to proof. Step #1: prove a martingale representation theorem: If  $F_{\tau}: P_{(x,T)}\mathcal{M} \to \mathbb{R}$  is a martingale on parabolic path space  $P\mathcal{M}$ , then it solves stochastic differential equation

$$\left\{ egin{aligned} d F_{ au} &= \langle 
abla^{||}_{ au} F_{ au}, d W_{ au} 
angle \ F_{| au=0} &= F_0. \end{aligned} 
ight.$$

- prove for k-point cylinder function ;
- lift  $F_{\tau}: P\mathcal{M} \to \mathbb{R}$  to the frame bundle  $\widetilde{F}_{\tau}: P\mathcal{F} \to \mathbb{R}$ ;
- show  $d\widetilde{F}_{\tau}(\cdot) = \langle H^{(\ell+1)}(\widetilde{f})(\cdot), dW_{\tau} \rangle$ ;
- project back down

Steps to proof. Step #2: Must also find evolution of parallel gradient  $d(\nabla_{\sigma}^{||}F_{\tau}) = \langle \nabla_{\tau}^{||}\nabla_{\sigma}^{||}F_{\tau}, dW_{\tau} \rangle + \frac{1}{2}(\dot{g} + 2\text{Rc})_{\tau}(\nabla_{\tau}^{||}F_{\tau}) d\tau + \nabla_{\sigma}^{||}F_{\sigma}d\delta_{\sigma}(\tau)$ 

Step #3: Lastly, a little Itô calculus to get the result!

# New Characterizations of the Ricci Flow

Equivalence between Ricci and Bochner. For martingales  $\{F_{\tau}\}$  on parabolic path space  $P\mathcal{M}$ , found new characterization of the Ricci flow via Bochner inequalities

Main theorem. (Full Bochner  $\implies$  Ricci) If martingale  $\{F_{\tau}\}$  satisfies the full Bochner inequality, then the family of manifolds  $(M, g_t)_{t \in [0, T]}$  evolves under Ricci flow.

For martingales  $\{F_{\tau}\}$  on parabolic path space  $P\mathcal{M}$ :

- new characterizations of the Ricci flow via Bochner inequalities
- gradient and Hessian estimates
- new proof of previous characterization of solutions of the Ricci flow [Haslhofer and Naber (2018a)]
- remark: newer work with generalized Ricci flow  $(M^n, g_t, H_t)$  by [Kopfer and Streets (2023)] includes torsion H

Theorem. (Full Bochner  $\implies$  Weak Bochner)

$$egin{aligned} &d(|
abla_{\sigma}^{||}F_{ au}|^2) \geq \langle 
abla_{\tau}^{||}|
abla_{\sigma}^{||}F_{ au}|^2, dW_{ au} 
angle + 2|
abla_{\tau}^{||}
abla_{\sigma}^{||}F_{ au}|^2 \, d au + 2|
abla_{\sigma}^{||}F_{\sigma}|^2 \, \delta_{\sigma}( au) \, d au \ & \Longrightarrow \ d|
abla_{\sigma}^{||}F_{ au}|^2 \geq \langle 
abla_{\tau}|
abla_{\sigma}^{||}F_{ au}|^2, dW_{ au} 
angle + 2|
abla_{\sigma}^{||}F_{\sigma}|^2 \, d\delta_{\sigma}( au) \ \end{aligned}$$

(Weak Bochner  $\implies$  Linear Bochner  $\implies$  Submartingale)

$$\begin{split} d|\nabla_{\sigma}^{||}F_{\tau}|^{2} &\geq \langle \nabla_{\tau}|\nabla_{\sigma}^{||}F_{\tau}|^{2}, dW_{\tau} \rangle + 2|\nabla_{\sigma}^{||}F_{\sigma}|^{2} d\delta_{\sigma}(\tau) \\ d|\nabla_{\sigma}^{||}F_{\tau}| &\geq \langle \nabla_{\tau}|\nabla_{\sigma}^{||}F_{\tau}|, dW_{\tau} \rangle + |\nabla_{\sigma}^{||}F_{\sigma}| d\delta_{\sigma}(\tau) \\ \implies |\nabla_{\sigma}^{||}F_{\tau}| &\leq \mathbb{E}[|\nabla_{\sigma}^{||}F_{\tau}|\Sigma_{\tau}] \end{split}$$

- $(M^n, g_t)_{t \in I}$  evolving family of manifolds
- $F_{\tau}$  martingale on parabolic path space  $P\mathcal{M}$

Theorem. (Submartingale  $\implies$  Gradient Estimate #1)

 $|\nabla_{\sigma}^{||} F_{\tau}| \leq \mathbb{E}[|\nabla_{\sigma}^{||} F_{\tau}|\Sigma_{\tau}] \implies \left|\nabla_{x} \mathbb{E}_{(x,T)}[F]\right| \leq \mathbb{E}_{(x,T)}[|\nabla_{x} F|]$ 

(Gradient Estimate  $\#1 \implies$  Gradient Estimate #2)

$$\left|\nabla_{x}\mathbb{E}_{(x,T)}[F]\right| \leq \mathbb{E}_{(x,T)}[|\nabla_{x}F|] \implies |\nabla_{\sigma}^{||}F_{\tau_{1}}|^{2} \leq \mathbb{E}_{(x,T)}\left[|\nabla_{\sigma}^{||}F_{\tau_{2}}|^{2}|\Sigma_{\tau_{1}}\right]$$

• 
$$F \in L^2(P\mathcal{M})$$

•  $F_{\tau} = \mathbb{E}[F|\Sigma_{\tau}]$  - induced martingale

Theorem. (Hessian estimate)

$$\mathbb{E}_{(x,T)}\left[|\nabla_{\sigma}^{||}F_{\sigma}|^{2}\right] + 2\mathbb{E}_{(x,T)}\int_{0}^{T}\left[|\nabla_{\tau}^{||}\nabla_{\sigma}^{||}F_{\tau}|^{2}\right] d\tau \leq \mathbb{E}_{(x,T)}\left[|\nabla_{\sigma}^{||}F|^{2}\right]$$

(Poincaré Hessian Estimate)

$$\begin{split} \mathbb{E}_{(x,T)} \left[ \left( F - \mathbb{E}_{(x,T)}[F] \right)^2 \right] \\ &+ 2 \int_0^T \int_0^T \mathbb{E}_{(x,T)} \left[ |\nabla_\tau^{||} \nabla_\sigma^{||} F_\tau|^2 \right] \, d\sigma \, d\tau \leq \int_0^T \mathbb{E}_{(x,T)} \left[ |\nabla_\sigma^{||} F|^2 \right] \, d\sigma \end{split}$$

BIRS 27 / 32

Strategy. Use previous gradient estimates to prove equivalent notions of the Ricci flow

Some of the estimates. (Gradient Estimate  $\#1 \implies$  Another Gradient Estimate)

$$\left| \nabla_{x} \mathbb{E}_{(x,T)}[F] \right| \leq \mathbb{E}_{(x,T)}[|\nabla_{x}F|] \implies \left| \nabla_{x} \mathbb{E}_{(x,T)}[F] \right| \leq \mathbb{E}_{(x,T)}[|\nabla_{0}^{||}F|]$$

(Gradient Estimate  $\#2 \implies$  Quadratic Variation Estimate)

$$\begin{split} |\nabla_{\sigma}^{||} F_{\tau_{1}}|^{2} &\leq \mathbb{E}_{(x,T)} \left[ |\nabla_{\sigma}^{||} F_{\tau_{2}}|^{2} |\Sigma_{\tau_{1}} \right] \\ \implies \mathbb{E}_{(x,T)} \left[ \frac{d[F,F]_{\tau}}{d\tau} \right] &\leq 2 \mathbb{E}_{(x,T)} \left[ |\nabla_{\tau}^{||} F|^{2} \right] \end{split}$$

Characterization. (Full Bochner  $\implies$  Weak Bochner)

$$d|\nabla_{\sigma}^{||}F_{\tau}|^{2} \geq \langle \nabla_{\tau}|\nabla_{\sigma}^{||}F_{\tau}|^{2}, dW_{\tau} \rangle + 2|\nabla_{\tau}^{||}\nabla_{\sigma}^{||}F_{\tau}|^{2} d\tau + 2|\nabla_{\sigma}^{||}F_{\sigma}|^{2} d\delta_{\sigma}(\tau)$$
  
$$\implies d|\nabla_{\sigma}^{||}F_{\tau}|^{2} \geq \langle \nabla_{\tau}|\nabla_{\sigma}^{||}F_{\tau}|^{2}, dW_{\tau} \rangle + 2|\nabla_{\sigma}^{||}F_{\sigma}|^{2} d\delta_{\sigma}(\tau)$$

(Weak Bochner  $\implies$  Ricci)

$$d|\nabla_{\sigma}^{||} F_{\tau}|^{2} \geq \langle \nabla_{\tau} | \nabla_{\sigma}^{||} F_{\tau}|^{2}, dW_{\tau} \rangle + 2|\nabla_{\sigma}^{||} F_{\sigma}|^{2} d\delta_{\sigma}(\tau) \implies \partial_{t} g_{t} = -2 \mathrm{Rc}_{g_{t}}$$

Also, log-Sobolev and Poincaré equivalencies for the Ricci flow

Converse implications involve substituting one-point and two-point cylinder test functions of compact support

29/32

- using stochastics, generalized classical Bochner formula for the heat flow on evolving manifolds (M, g<sub>t</sub>)<sub>t∈[0,T]</sub> to infinite-dimensional Bochner formula for martingales on parabolic path space PM of space-time M = M × [0, T]
- characterize solutions of the Ricci flow in terms of Bochner inequalities on parabolic path space
- $\bullet\,$  obtain gradient and Hessian estimates for martingales on  $\mathcal{PM}\,$
- condensed proofs of prior characterizations of the Ricci flow

# Thank you for your attention!

- E. Cabezas-Rivas and R. Haslhofer. Brownian motion on Perelman's almost Ricci-flat manifold. *J. Reine Angew. Math.*, 2020(764):217–239, 2020.
- L. Cheng and A. Thalmaier. Spectral gap on Riemannian path space over static and evolving manifolds. *J. Funct. Anal.*, 274(4):959–984, 2018a.
- L. Cheng and A. Thalmaier. Characterizations of pinched Ricci curvature by functional inequalities. *J. Geom. Anal.*, 28(3):2312–2345, 2018b.
- S.-Z. Fang and B. Wu. Remarks on spectral gaps on the Riemannian path space. *Electron. Commun. Probab.*, 22(19):13, 2017.
- R. Hamilton. The Harnack estimate for the Ricci flow. J. Differ. Geom., 17 (2):225–243, 1993.
- R. Haslhofer and A. Naber. Characterizations of the Ricci flow. J. Eur. Math. Soc., 20(5):1269–1302, 2018a.
- R. Haslhofer and A. Naber. Ricci curvature and Bochner formulas for martingales. *Comm. Pure Appl. Math.*, 71(6):1074–1108, 2018b.

BIRS 31/32

A ∰ ▶ A ∃ ▶ A

# References II

- E. Hsu. *Stochastic analysis on manifolds*, volume 38. Graduate Studies in Mathematics, American Mathematical Society, Providence, RI, 2002.
- C. Kennedy. A Bochner formula on path space for the Ricci flow. *Calc. Var. PDE*, 62(83):25, 2023.
- E. Kopfer and J. Streets. Bochner formulas, functional inequalities and generalized Ricci flow. *J. Funct. Anal.*, 2023.
- A. Naber. Characterizations of bounded Ricci curvature on smooth and non-smooth spaces. 2013. doi: 10.48550/ARXIV.1306.6512. https://arxiv.org/abs/1306.6512.
- F.-Y. Wang and B. Wu. Pointwise characterizations of curvature and second fundamental form on Riemannian manifolds. *B. Sci. China Math.*, 61:1407, 2018.
- B. Wu. Characterizations of the upper bound of Bakry-Emery curvature. *J. Geom. Anal.*, 30:3923–3947, 2020.

BIRS 32 / 32